

Docket No.
P23,643-A USA

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Neutral and Anionic Colloidal Particles for Gene Delivery

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International Application Number _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional

60/253,827

November 29, 2000

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Charles H. Lindrooth (Reg. No. 20,659)
 Alexis Barron (Reg. No. 22,702)
 Martin F. Savitzky (Reg. No. 29,699)
 Peter J. Butch III (Reg. No. 32,203)
 Joseph F. Posillico (Reg. No. 32,290)
 Mark D. Simpson (Reg. No. 32,942)
 Theodore Naccarella (Reg. No. 33,023)
 Patrick J. Kelly, Ph.D. (Reg. No. 34,638)
 Gary A. Hecht (Reg. No. 36,826)
 Stephen J. Driscoll (Reg. No. 37,564)
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Joshua R. Slavitt (Reg. No. 40,816)
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 Joseph M. Imhof (Reg. No. 41,863)
 Gregory S. Bernabeo (Reg. No. 44,032)
 Stephen J. Weed (Reg. No. 45,202)
 H. Eric Fischer (Reg. No. 46,010)
 Brett T. Freeman (Reg. No. 46,709)
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 Jonathan M. Dermott, Ph.D. (Reg. No. 48,608)
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Direct Telephone Calls to: *(name and telephone number)*
Peter J. Butch III - 215-923-4466

Full name of sole or first inventor Hans Hofland	
Sole or first inventor's signature	Date
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Post Office Address Same as residence address	

Full name of second inventor, if any Donald Lamons	
Second inventor's signature	Date
Residence 125 Fox Crossing Court, Emerald Hills, California 94062	
Citizenship United States of America	
Post Office Address Same as residence address	

Full name of third inventor, if any Xiao-Ying Meng	
Third inventor's signature	Date
Residence 5317 Shamrock CM, Fremont, California 94555	
Citizenship United States of America	
Post Office Address Same as residence address	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

ASSIGNMENT AND AGREEMENT

For value received and intending to be legally bound, we, the undersigned joint inventor(s) (Assignor(s)), sell, assign and transfer to AVENTIS PHARMACEUTICALS PRODUCTS INC. (Assignee), Route 202-206, P. O. Box 6800, Bridgewater, New Jersey 08807-0800, United States of America, and its successors, assigns and legal representatives, the entire right, title and interest in and to our invention and any improvements thereon for all countries of the world, relating in and to the application for United States Letters Patent therefor, assigned Attorney Docket No. GC0003 and (if filed prior to the execution hereof) filed on November 29, 2000, as Serial No. 60/253,827, entitled

NEUTRAL AND ANIONIC COLLOIDIAL PARTICLES FOR GENE DELIVERY

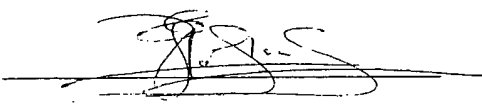
and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property, including the right to claim priority based on said United States application, and under any and all Letters Patent which may be granted therefor, and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country or patent organization, including continuations, divisions, renewals, revivals, and any substitute applications of said United States application, and any and all patents which may issue thereon, and any reissues, pipelines and extensions, and governmental exclusivity rights of the same.

we authorize and request competent authorities to grant and issue any and all patents on said invention to said assignee or its successor, assigns and legal representatives, or to such nominees as said assignee may designate.

we agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said invention in any and all countries and for vesting title thereto in said assignee, its successors, assigns, legal representatives or nominees.

we covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Signed at Hayward on this 20 day of February, 2001.
(City, State and Nation)

Signature: 

Inventor's Name:

Hans Hofland

Residential Address:

126 Albacore Lane
Foster City, CA 94404

Signed at Hayward CA USA on this 20th day of February, 2001.
(City, State and Nation)

Signature: Donald Lamons

Inventor's Name: Donald Lamons
Residential Address: 125 Fox Crossing Court
Emerald Hills, CA 94062

Signed at Hayward .CA, USA on this 20th day of February, 2001.
(City, State and Nation)

Signature: Xiao-Ying Meng

Inventor's Name: Xiao-Ying Meng
Residential Address: 5317 Shamrock CM
Fremont, CA 94555

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Docket No.: P23,643 USA

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02

RI

03-14-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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Tab settings → → → ▼ ▼

To the Honorable Commissioner of Patent:

ached original documents or copy thereof.

1. Name of conveying party(ies):

Hans Hofland
Donald Lamons
Xiao-Ying Meng

MAR 2 2001

2. Name and address of receiving party(ies):

Name: **AVENTIS PHARMACEUTICALS PRODUCTS INC**

Internal Address: _____

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: **Route 202-206**

P.O. Box 6800

City: **Bridgewater** State: **NJ** ZIP: **08807-0800**

Execution Date: **February 20, 2001**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

60/253,827

03/13/2001 GTON11 00000126 60253827

01 FC:581 40.00 DP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Peter J. Butch III, Esquire**

Internal Address: _____

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-5425

Street Address: **SYNNESTVEDT & LECHNER LLP**

2600 Aramark Tower, 1101 Market Street

City: **Philadelphia** State: **PA** ZIP: **19107-2950**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter J. Butch III (Reg. No. 32,203)

February 27, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **3**